

CONFIDENTIAL**LEC (NRIs)**

[Paragraph 10 C. 23 (i)]

Statement showing company –wise details of total purchases and sales of equity shares/convertible debentures made by designated branches of _____ (name of the bank) on _____ through stock exchange in India on behalf of non-residents of Indian nationality/origin and overseas bodies predominantly owned by such persons

Name and address of the Link Office _____
 Serial No. _____

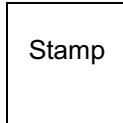
INSTRUCTIONS

- i) *This statement should be serially numbered and must be submitted to the Reserve Bank on the following working day.*
- ii) *If no purchases/sales are made on any particular day, a nil statement need not be submitted to the Reserve Bank.*
- iii) *All purchase and sale transactions for which a firm commitment has been made to acquire or transfer equity shares/convertible debentures in the form of the broker's contract notes issued by recognised stock exchange brokers should be included in this statement on the same day, irrespective of whether the actual deliveries have been effected or not.*
- iv) *Designated bank branches should obtain the broker's contract notes/intimations of actual purchase/sale transactions on the date of the transaction itself so that such purchases/sales could be included in the daily statement.*
- v) *All purchases/sales both on repatriation basis and non-repatriation basis should be included in this statement.*
- vi) *Sales transactions in respect of shares/debentures originally purchased by non-resident investors through stock exchanges should only be included in this statement. Sales of shares/debentures acquired out of new issues directly from Indian companies need not be reported in this statement.*
- vii) *Name of the company under column I should be indicated in full.*

Name of the company	Type of security	Whether Repatriable or Non-Repatriable	P U R C H A S E S			S A L E S		
			No.	Face value per share/ debenture	Total purchase price	No.	Face value per share/ debenture	Total sale proceeds
1	2	3	4	5	6	7	8	9
	1. Equity shares	<u>Repatriable</u>						
	2. PCDs/ FCDs							
	3.Others (tobe specified)							
	1. Equity shares	<u>Non-Repatriable</u>						
	2. PCDs/ FCDs							
	3.Others (tobe specified)							

We hereby certify that the above statement includes all the purchases and sales of equity shares and convertible debentures made on _____ by all designated branches in India of our bank through stock exchanges in India on behalf of non -residents of Indian nationality/origin and bank through stock exchanges in India on behalf of non -residents of Indian nationality/origin and overseas companies, partnership firms, societies and other corporate bodies owned by such persons to the extent o at least 60 per cent. We also certify that the investments in each company by any single NRI does not exceed 1% o the paid up capital/each series of convertible debentures.

Place:



Date:

(Signature of Applicant/Authorised Official)

Name _____

Designation _____

Forwarded to the Chief General Manager, Exchange Control Department, (Foreign Investment Division), Reserve Bank of India, Central Office, New Central Office Building, 11th floor, Shahid Bhagat Singh Road, Mumbai - 400 001.